

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE
(REV. 07-2004)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

18497

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/518157

INTERNATIONAL APPLICATION NO.

PCT/JP03/07397

INTERNATIONAL FILING DATE

11 June 2003 (11.06.2003)

PRIORITY DATE CLAIMED

12 June 2002 (12.06.2002)

TITLE OF INVENTION

**SEMICONDUCTOR DEVICE, SEMICONDUCTOR CIRCUIT AND METHOD OF FABRICATING
SEMICONDUCTOR DEVICE**

APPLICANT(S) FOR DO/EO/US

Takashi Nakano and Hirokazu Tohya

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV261613532US**
23. ☒ Other items or information:

Seventeen (17) sheets formal drawings**Assignee: NEC Corporation of Tokyo, Japan**

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 10/518157 18497	
Applicant(s): Takashi Nakano et al.				
Application No. unassigned	Filing Date herewith	Examiner unassigned	Customer No. 23389	Group Art Unit unassigned
Invention: SEMICONDUCTOR DEVICE, SEMICONDUCTOR CIRCUIT AND METHOD OF FABRICATING SEMICONDUCTOR DEVICE				
I hereby certify that the following correspondence: <div style="border: 1px solid black; padding: 10px; margin: 10px 0;">U.S. Patent Application Under 35 U.S.C. 371</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>DECEMBER 10, 2004</u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><u>Paul J. Esatto, Jr.</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i> <u></u> <i>(Signature of Person Mailing Correspondence)</i> <u>EV261613532US</u> <i>("Express Mail" Mailing Label Number)</i></div>				
Note: Each paper must have its own certificate of mailing.				